AUS920010369US1

-1-



#### UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Group Art Unit:

Dwip N. Banerjee et al.

Serial No.:

Filed:

(herewith)

IBM Corporation

Intellectual Property Law

Title:

REDUCTION OF SERVER

OVERLOAD :

11400 Burnet Rd. Austin, Texas 78701

## INFORMATION DISCLOSURE STATEMENT

Assistant Commissioner for Patents Washington, D.C. 20231

Dear Sir:

This Information Disclosure Statement is being submitted in connection with the above-identified application for patent. Applicants submit herewith patents, publications or other information of which they are aware, which they believe may be material to the patentability of this application and in respect of which there may be a duty to disclose in accordance with 37 C.F.R. § 1.56.

While this Information Disclosure Statement may be "material" pursuant to 37 C.F.R. § 1.56, it is not intended to constitute an admission that any patent, publication or other information referred to herein is "prior art" for this invention unless specifically designated as such.

In accordance with 37 C.F.R. § 1.97(g), the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made or that no other material information as defined in 37 C.F.R. § 1.56(a) exists.

The attached form, PTO-1449, provides a listing of patents, publications, or other information as required by 37 C.F.R. § 1.98(a)(1).

AUS920010369US1 PATENT

A copy of each of the items identified on the attached Form PTO-1449 is supplied herewith, except for the pending patent applications, for which no copies are being submitted.

Respectfully submitted,

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AUSTIN\_1\166267\1 06/05/2001 - 7047-P441US In Place of FORM PTO-1449 (Modified)

# LIST OF PATENTS AND PUBLICATIONS FOR APPLICANTS' INFORMATION DISCLOSURE STATEMENT

Serial No.:

Applicants: Dwip N. Banerjee et al.

Filing Date: (herewith)

Group:

Atty. Docket No.: AUS920010369US1



Reference Designation

### **U.S. PATENT DOCUMENTS**

Examiner Initial	Document Number	Date	Name	Class	Subclass	Filing Date if Appropriate
AAA	6,101,541	08/08/00	Ellesson et al.	709	225	
ABC	5,535,375	07/09/96	Eshel et al.	391	500	,
ACA	6,182,086 B1	01/30/01	Lomet et al.	707	202	
ADA	6,098,099	08/01/00	Ellesson et al.	709	223	
AEA	5,878,224	03/02/99	Smith	395	200.54	
AFA	5,675,721	10/07/97	Freedman et al.	395	129	
AGA						
AHA						
AIA						
AJA						
AKA						
ALA						
AMA						
ANA						
AOA						
APA						

#### **FOREIGN PATENT DOCUMENTS**

Examiner Initial	Document Number	Date	Country	Class	Subclass	Translation Yes No
AQA						
ARA						
ASA						
ATA						

## OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

Examiner:	Date Considered:			
AVA				
AUA	•			
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EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.